09-21-00

Docket No. ___IL-10623___

Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Christine A. Smith and Howard W. H. Lee

For (title):   **MATERIAL SYSTEM FOR TAILORABLE WHITE LIGHT EMISSION AND METHOD FOR MAKING THEREOF**

1. **Type of Application**
   - ■ This new application is for an original patent.

   - ☐ This new application is a:
     - ☐ Division
     - ☐ Continuation
     - ☐ Continuation-in-part (CIP)

2. **Benefit of Prior U.S. Application(s) (35 USC 120)**
   - ☐ The new application being transmitted claims the benefit of prior U.S. application(s).
     - ☐ Group/Art Unit:
     - ☐ Examiner of Prior Application:

3. ☐ **Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:**

Application Serial No.	Filing Date

4. **Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**
   - __26__ Pages of specification, including Claims, Abstract and Title Page
   - __10__ Sheets of formal drawings

5. **Additional papers enclosed**
   - ☐ Preliminary Amendment
   - ■ Express Mail Certificate
   - ■ Return Postcard

6. **Declaration or oath**
   - ■ Enclosed and executed by
     - ■ Inventors
     - □ legal representative of inventor(s) 37 CFR 1.42 or 1.43
   - □ Not Enclosed

7. **Assignment**
   - ■ An assignment of the invention to <u>The Regents of the University of California</u>.
     - ■ is attached
     - □ will follow

8. **Certified Copy**
   Certified copy(ies) of application(s)

   _____

   (country)   (application no.)   (filed)

   _____

   (country)   (application no.)   (filed)

   from which priority is claimed
   - □ is(are) attached.
   - □ will follow

9. **Fee Calculation**

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	53	-20 =	33	x $18 =	$ 594.00
Independent Claims	18	-3 =	15	x $78 =	$ 1,170.00
			Multiple Claims	=	$ 1,764.00
			Basic Filing Fee	=	$ 690.00
			Sub-Total	=	$ 2,454.00
			Small Entity Filing Fee =		$ 1,227.00

10. **Small-Entity Statement(s)**

■ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☐ Copy of Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 filed in prior application. Status still proper and desired.

11. **Fee Payment**

☐ Not Enclosed

■ Enclosed (See Charge Account Information Below)

Total Basic Filing Fees To Be Paid $ 1,227.00

12. **Method of Payment of Fees**

☐ Check in the Amount of $ _____

■ Charge Account No. __12-0695__ in the amount of $ __1,227.00__

A duplicate of this transmittal is attached.

13. **Instructions As To Overpayment/Underpayment**

■ credit/charge

Account No.   __12-0695__

☐ refund

14. **Correspondence Address**

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ATTORNEY

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Livermore, CA 94551

Date: __9/19/00__

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Christine A. Smith et al.	Attorney Docket No. : IL-10623
Serial No.	:	Art Unit:
Filed	:	Examiner:
For	: Material System for Tailorable White Light Emission And Method For Making Thereof	

Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL533020001US

Date of Deposit_____9/19/00_____

I hereby certify that the following *attached*

1. Recordation Cover Sheet w/Assignment
2. New Application Transmittal (in duplicate)
3. Verified Statement Claiming Small Entity Status
4. Application
   (Specification w/title page 16 pages, Claims 9 pages, Abstract 1 page)
   Combined Declaration and Power of Attorney
   Ten (10) sheets of formal drawings;
5. Express Mail Certificate
6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Kathy E. Raymond
(Type or print name of person mailing paper)

Kathy E. Raymond
(Signature of person mailing paper or fee)